KOZENY-CARMAN EQUATION REVISITED

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Abstract

The Kozeny-Carman equation is often presented as permeability versus porosity, grain size, and tortuosity. When it is used to estimate permeability evolution versus porosity, some of these arguments (e.g., the grain size and tortuosity) are held constant. Here we theoretically explore the internal consistency of this assumption and offer alternative forms for the Kozeny-Carman equation. The only advantage of these forms over the one traditionally used is their internal consistency. Such analytical solutions cannot replace measurements, physical and digital, but can rather serve for quality control of physical and digital data.

1. Problem Formulation

Traditionally, the Kozeny-Carman equation relates the absolute permeability $k_{\text{absolute}}$ to porosity $\phi$ and grain size $d$ as

$$k_{\text{absolute}} \sim d^2 \phi^3.$$  \hspace{1cm} (1.1)

This form is frequently employed to mimic permeability versus porosity evolution in datasets, such as in Fontainebleau sandstone (Bourbie and Zinszner, 1985) or Finney pack (Finney, 1970). During such calculations, the grain size $d$ is typically kept constant.

We find at least two inconsistencies in this approach: (a) the Kozeny-Carman equation has been derived for a solid medium with pipe conduits, rather than for a granular medium and (b) even if a grain size is used in this equation, it is not obvious that it does not vary with varying porosity (Figure 1.1 and 1.2).

Bearing this argument in mind, we explore how permeability can be predicted consistently within the Kozeny-Carman formalism, by varying the radii of the conduits, their number, and type. We find that such a consistent approach is possible. However,
it requires additional assumptions, specifically, regarding tortuosity evolution during porosity reduction.

In the end we arrive at alternative forms for the Kozeny-Carman equation, which still should not be used to predict permeability, but instead to quality-controls physical and digital experimental data.

Figure 1.1. Cross-sections of four Fontainebleau sandstone samples with decreasing porosity (posted on top of each image). The scale bar in each image is 0.5 mm. We argue that it is not obvious which parameters (grain size, conduit size, or the number of conduits) change during porosity reduction.
Figure 1.2. Cross-sections of a Finney pack for uniformly increasing radius of each sphere (from 1.00 to 1.45 mm with 0.05 mm increment, left to right and top to bottom) and respectively decreasing porosity (posted on top of each image). As in the images in Figure 1.1, it is not immediately obvious which parameters (grain size, conduit size, or the number of conduits) change during porosity reduction.
2. Definition of Absolute Permeability

The definition of absolute permeability \( k_{\text{absolute}} \) of porous rock comes from Darcy’s equation (e.g., Mavko et al., 1998)

\[
Q = -k_{\text{absolute}} \frac{A}{\mu} \frac{dP}{dx},
\]

(2.1)

where \( Q \) is the volume flux through the sample (in, e.g., m\(^3\)/s); \( A \) is the cross-sectional area of the sample (in, e.g., m\(^2\)); \( \mu \) is the dynamic viscosity of the fluid (in, e.g., Pa s with 1 cPs = 10\(^{-3}\) Pa s); and \( dP/dx \) is the pressure drop across the sample divided by the length of the sample (in, e.g., Pa/m).

3. Flow Through a Circular Pipe

The equation for laminar viscous flow in a pipe of radius \( b \) is

\[
\frac{\partial^2 u}{\partial r^2} + \frac{1}{r} \frac{\partial u}{\partial r} = \frac{1}{\mu} \frac{dP}{dx},
\]

(3.1)

where \( u \) is the velocity of the fluid in the axial (\( x \)) direction; \( \mu \) is the dynamic viscosity of the fluid; \( dP/dx \) is the pressure gradient in the axial direction; and \( r \) and \( x \) are the radial and axial coordinates, respectively.

A general solution of Equation (3.1) is

\[
u = \bar{A} + \bar{B} r^2 + \bar{C} \ln r,
\]

(3.2)

where \( \bar{A}, \bar{B}, \) and \( \bar{C} \) are constants. It follows from Equation (3.2) that

\[
\frac{\partial u}{\partial r} = 2\bar{C} r + \frac{\bar{C}}{r}, \quad \frac{\partial^2 u}{\partial r^2} = 2\bar{C} - \frac{\bar{C}}{r^2}.
\]

(3.3)

By substituting the expressions from Equation (3.3) into Equation (3.1) we find that

\[
2\bar{B} - \frac{\bar{C}}{r^2} + 2\bar{B} + \frac{\bar{C}}{r^2} = \frac{1}{\nu} \frac{dP}{dy},
\]

(3.4)

which means that

\[
\bar{B} = \frac{1}{4\nu} \frac{dP}{dx}.
\]

(3.5)
To avoid singularity at $r = 0$ we need to assume that in Equation (3.2) $\tilde{C} = 0$.

Next, we will employ the no-slip condition $u = 0$ at $r = b$.

$$u = \tilde{A} + Br^2 = \tilde{A} + \frac{1}{4\mu} \frac{dP}{dx} r^2 = \tilde{A} + \frac{1}{4\mu} \frac{dP}{dx} b^2 = 0,$$

(3.6)

which means

$$\tilde{A} = -\frac{1}{4\mu} \frac{dP}{dx} b^2$$

(3.7)

and

$$u = -\frac{1}{4\mu} \frac{dP}{dx} b^2 (1 - \frac{r^2}{b^2}).$$

(3.8)

The total volume flux through the pipe is

$$q = \frac{\pi b^4}{8\mu} \frac{\Delta P}{l},$$

(3.9)

where $l$ is the length of the pipe, $\Delta P$ is the pressure head along the length of the pipe, and the pressure gradient $dP/dx$ is replaced with $\Delta P/l$.

### 4. Absolute Permeability – Round Pipe

Assume that a pore space is made of $N$ identical parallel round pipes embedded in a solid block at an angle $\alpha$ to its horizontal face (Figure 4.1). The horizontal pressure head across the block is $\Delta P$. The length of each pipe inside the block is

$$l = L/\sin\alpha = L\tau,$$

(4.1)

where $L$ is the horizontal length of the block and, by definition, $\tau = \sin^{-1}\alpha$ is the tortuosity.

Using Equations (3.9) and (4.1), we obtain the total flux through $N$ pipes as

$$Q = Nq = -N \frac{\pi b^4}{8\mu} \frac{\Delta P}{L\tau} = -N \frac{\pi b^4}{8\mu} \frac{dP}{dx} = -N\pi b^2 \frac{b^2}{8\mu\tau} \frac{dP}{dx},$$

(4.2)

where $\Delta P/L\tau$ is the pressure gradient across the pipe.

The porosity of the block due to the pipes is
\[ \phi = \frac{N\pi b^3 l}{AL} = \frac{N\pi b^3 \tau}{A}, \quad (4.3) \]

where \( A \) is the cross-sectional area of the block, same as used in Equation (2.1).

![Figure 4.1. Solid block with a pipe used for Kozeny-Carman derivations (left). Notations are explained in the text. To the right, we show a cross-section of an open pipe and that of the same pipe with a concentric solid kernel.](image)

By combining Equations (4.2) and (4.3), we obtain

\[ Q = -\phi \frac{b^2}{8\tau^2} \frac{A}{\mu} \frac{dP}{dx}, \quad (4.4) \]

which means (using the definition of absolute permeability) that

\[ k_{\text{absolute}} = \frac{b^2 \phi}{8\tau^2}. \quad (4.5) \]

Let us next introduce another characteristic of the pore space, the specific surface area \( s \), which, by definition, is the ratio of the pore surface area to the total volume of the block. For the block permeated by pipes,

\[ s = \frac{N2\pi bl}{AL} = \frac{N2\pi b\tau}{A} = \frac{N\pi b^2 \tau}{A} \frac{2\phi}{b} = \frac{2\phi}{b}, \quad (4.6) \]

and, therefore, \( b = 2\phi / s \) and

\[ k_{\text{absolute}} = \frac{1}{2} \frac{\phi^3}{s^2 \tau^2}. \quad (4.7) \]

Finally, let us combine Equations (2.1) and (4.2) to obtain

\[ k_{\text{absolute}} = \frac{N \pi b^4}{A \tau} \frac{1}{8}. \quad (4.8) \]
5. Absolute Permeability -- Concentric Pipe

Consider fluid flow through a round pipe of radius \( b \) with a concentric solid kernel of radius \( a \) inside (Figure 4.1). Equations (3.1) and (3.2) are still valid for the flow inside the annular gap formed by the pipe and kernel. The solution for velocity \( u \) inside the annular gap is obtained from Equation (3.2) and using the no-slip \( (u = 0) \) boundary conditions at \( r = b \) and \( r = a \):

\[
u = -\frac{1}{4\mu} \frac{dP}{dx} b^2 [ (1 - r^2/b^2) - (1 - a^2/b^2) \ln(b/r) ].
\] (5.1)

A comparison of the radial velocity field according to Equation (5.1) and (3.8) is displayed in Figure 5.1.

![Figure 5.1](image)

Figure 5.1. Normalized velocity of fluid versus the normalized radius of a circular pipe for (a) flow in a circular pipe without a kernel and (b) annular floe in a pipe with a kernel for the radius of the kernel 0.1 of that of the pipe.

By integrating the right-hand part of this equation times \( 2\pi r \) from \( a \) to \( b \) and with respect to \( r \) we obtain the flux through the annular gap:

\[
q = -\frac{\pi}{8\mu} \frac{\Delta P}{l} b^4 [ (1 - \frac{a^2}{b^2}) [ 1 + \frac{a^2}{b^2} + \frac{1}{\ln(b/a)} ] ].
\] (5.2)

Let us remember that for a pipe without a kernel,

\[
q = -\frac{\pi b^4}{8\mu} \frac{\Delta P}{l}.
\] (5.3)

We can arrive at this expression from Equation (5.2) if \( a = 0 \) and \( \ln a \to -\infty \).
Also, if \( a \rightarrow b \), the infinity in the denominator of the third term in the square brackets in Equation (5.2) has the same order as that in the numerator, and \( q \rightarrow 0 \).

In Figure 5.2 we display the ratio \( \xi \) of the flux computed according to Equation (5.2) to that according to Equation (5.3):

\[
\xi = (1 - \frac{a^2}{b^2})[1 + \frac{a^2}{b^2} + (1 - \frac{a^2}{b^2}) \frac{1}{\ln(a/b)}],
\]

which behaves predictably.

![Graph](image)

Figure 5.2. Ratio of annular flux to that through a round pipe versus the normalized radius of a kernel.

The total flux through \( N \) pipes with a kernel is

\[
Q = Nq = -N \frac{\pi}{8 \mu} \frac{\Delta P}{L \tau} b^4 (1 - \frac{a^2}{b^2})[1 + \frac{a^2}{b^2} + (1 - \frac{a^2}{b^2}) \frac{1}{\ln(a/b)}].
\]  

(5.5)

Hence the absolute permeability is

\[
k_{\text{absolute}} = \frac{N}{A \tau} \frac{\pi b^4}{8} (1 - \frac{a^2}{b^2})[1 + \frac{a^2}{b^2} + (1 - \frac{a^2}{b^2}) \frac{1}{\ln(a/b)}].
\]

(5.6)

The porosity of this block is now

\[
\phi = \frac{N \pi (b^2 - a^2) l}{AL} = \frac{N \pi (b^2 - a^2) \tau}{A}.
\]

(5.7)

The specific surface area is
\[ s = \frac{N2\pi(b + a)l}{AL} = \frac{N2\pi(b + a)\tau}{A} = \frac{N\pi(b^2 - a^2)\tau}{A} \frac{2}{b - a} = \frac{2\phi}{b - a}. \quad (5.8) \]

As a result,
\[ k_{\text{absolute}} = \frac{\phi}{8\tau^2} b^2 [1 + \frac{a^2}{b^2} + (1 - \frac{a^2}{b^2}) \frac{1}{\ln(a/b)}]. \quad (5.9) \]

6. Permeability versus Porosity

Within the above formalism, one may envision at least three porosity variation scenarios: (a) the number of the pipes \( N \) varies, (b) the number \( N \) remains constant, but the radius of the pipes \( b \) varies, and (c) the number \( N \) remains constant and so does the radius of the pipes \( b \), but concentric kernels of radius \( a \) grow inside the pipes (Figure 6.1).

Consider a solid block with a square cross-section with a \( 10^{-3} \) m side and the resulting cross-sectional area \( A = 10^{-6} \) m\(^2\). It is penetrated by \( N = 50 \) identical round pipes with radius \( b = 2.83 \cdot 10^{-5} \) m. Also assume \( \tau = 2.5 \). The resulting porosity is \( \phi = N\pi b^2 \tau / A = 0.30 \). The resulting permeability, according to Equation (4.8), is \( k_{\text{absolute}} = 4.772 \cdot 10^{-5} \) m\(^2\) = 4772 mD.

Next, we alter the original block (left image in Figure 6.1) according to the proposed three porosity reduction scenarios. The results are shown in Figure 6.2, where we also display the classical Fontainebleau sandstone data as well as data for North Sea sand (Troll field). This figure also explains our choice of the solid block and pipe parameters earlier in this section – the numbers selected helped match the porosity and permeability
of the original block to those of the highest-porosity Fontainebleau sample.

Figure 6.2. Permeability versus porosity according to scenarios a, b, and c. Open symbols are for measured permeability in Fontainebleau sandstone. Filled symbols are for measured permeability in sand samples from Troll field offshore Norway.

Figure 6.2 also indicates that none of the proposed simple models reproduces the trends present in real data.

7. Third Dimension and Tortuosity

Modeling rock as a block with fixed cross-section, clearly does not produce permeability results that match laboratory data. Let us hypothesize that the effect of the third dimension can be modeled by varying the tortuosity $\tau$. Specifically, within the Kozeny-Carman formalism, let us assume that $\tau$ varies with porosity $\phi$.

Consider two candidate equations for this dependence:

$$\tau = \phi^{-1.2}, \quad (7.1)$$

derived from laboratory contaminant diffusion experiments by Boving and Grathwohl (2001) and

$$\tau = (1 + \phi^{-1}) / 2, \quad (7.2)$$

theoretically derived by Berryman (1981).
At $\phi = 0.3$, these two equations give $\tau = 4.24$ and $2.17$, respectively.

Let us next repeat out calculations of permeability for the three porosity evolution scenarios, but this time with the tortuosity varying versus porosity. Also, to keep the scale consistent, we will scale Equations (7.1) and (7.2) to yield $\tau = 2.5$ at $\phi = 0.3$ as follows

$$\tau = 0.590\phi^{1.2}$$

and

$$\tau = 0.576(1 + \phi^{-1}).$$

The results shown in Figure 7.1 indicate that both the above equations produce similar results. Permeability calculated for porosity reduction scenario with contracting pipe size matches the Fontainebleau data in the medium-to-high porosity range but fails for low porosity.

![Figure 7.1](image)

**Figure 7.1.** Permeability versus porosity according to scenarios a, b, and c with varying tortuosity. Left, according to Equation (7.3) and right, according to Equation (7.4).

Our final attempt to match the Fontainebleau data is to assume that the tortuosity becomes infinity at some small percolation porosity $\phi_p$ (following Mavko and Nur, 1997). The resulting equations for tortuosity become

$$\tau = 0.590(\phi - \phi_p)^{-1.2}$$

(7.5)
and

$$\tau = 0.576[1 + (\phi - \phi_p)^{-1}]. \quad (7.6)$$

The resulting permeability curves for the case of shrinking pipes and $\phi_p = 0.025$ are displayed in Figure 7.2. This final match appears to be satisfactory.

![Permeability versus porosity](image)

**Figure 7.2.** Permeability versus porosity for shrinking pipes and for tortuosity given by Equation (7.4) – top curve, Equation (7.5) – middle curve, and Equation (7.6) – bottom curve.

The resulting forms of the Kozeny-Carman equation obtained by combining Equations (4.5) and (4.7) with Equation (7.6) are, respectively,

$$k_{\text{absolute}} = 0.357b^2 \frac{\phi}{[1 + (\phi - \phi_p)^{-1}]^2} \quad (7.7)$$

and

$$k_{\text{absolute}} = 1.507s^{-2} \frac{\phi^3}{[1 + (\phi - \phi_p)^{-1}]^2}. \quad (7.8)$$

Some other reported relations between $\tau$ and $\phi$ are:

$$\tau = 0.67\phi^{-1}, \quad (7.9)$$

theoretically derived based on the assumption of fractal pore geometry (Pape et al., 1998) and
\[ \tau = 1.8561 - 0.715\phi, \quad 0.1 < \phi < 0.5; \]
\[ \tau = 2.1445 - 1.126\phi, \quad 0.3 < \phi < 0.5; \quad (7.10) \]
\[ \tau = -2.1472 + 5.244\phi, \quad 0.6 < \phi < 1.0; \]
derived from laboratory fluid flow experiments on textiles, kaolinite, and soil by Salem and Chilingarian (2000). Tortuosity according to Equations (7.1), (7.2), (7.9), and (7.10) is plotted versus porosity in Figure 7.3. The large difference between individual curves is likely due to the materials and models these curves were derived for.

\[ k_{\text{absolute}} = \frac{1}{2} \frac{\phi^3}{s^2 \tau^2} \quad (8.1) \]

and relates the specific surface area \( s \) to the grain size.

This is possible if we consider a dense random pack of \( M \) identical spherical grains with radius \( r \) and porosity \( \phi_0 \). The volume of each individual grain is \( (4/3)\pi r^3 \) and the total volume of the pack is hence \( (4/3)M\pi r^3/(1 - \phi_0) \). The surface area of the pore
space is \(4\pi r^2\). Therefore, for this specific case,

\[
s = 3(1 - \phi_0)/r = 6(1 - \phi_0)/d, \tag{8.2}
\]

where \(d = 2r\).

Note that this equation is only valid for a sphere pack with porosity \(\phi_0 \approx 0.36\). If we assume that the same equation applies to the entire porosity range, which is clearly invalid since \(s\) should be generally decreasing with decreasing porosity, we obtain form Equations (8.1) and (8.2)

\[
k_{\text{absolute}} = \frac{r^2}{18} \frac{\phi^3}{(1 - \phi)^2 \tau^2} = \frac{d^2}{72} \frac{\phi^3}{(1 - \phi)^2 \tau^2}, \tag{8.3}
\]

where \(d = 2r\).

We can also modify this last equation by introducing a percolation porosity \(\phi_p\) (Mavko and Nur, 1997) as

\[
k_{\text{absolute}} = \frac{d^2}{72} \frac{(\phi - \phi_p)^3}{(1 - \phi + \phi_p)^2 \tau^2}, \tag{8.4}
\]

The corresponding curve for \(\phi_p = 0.025, d = 0.25\) mm = 0.00025 m, and constant tortuosity \(\tau = 0.25\) is plotted in Figure 8.1.

![Figure 8.1. Black curve: permeability versus porosity for shrinking pipes with the tortuosity given by Equation (7.6). Red curve: permeability versus porosity according to Equation (8.4) with parameters described in the text.](image)
9. Conclusion

Consistency is possible in deriving and applying Kozeny-Carman equation for permeability as a function of porosity. Any such derivation inherently requires such idealized and, generally, nonexistent in real rock parameters as grain size, pore size, and tortuosity. Yet, the Kozeny-Carman equation can be made to mimic some experimental trends and, therefore, serve as a quality-control tool for physical and digital experimental results.

References


Appendix: Specific Surface Area of the Finney Pack with Expanding Spheres

We select a cubic subset of the Finney pack and gradually and uniformly expand the radius of each sphere from 1 to 1.5 mm. The evolution of the porosity of these packs versus the radius of a sphere is shown in Figure A.1.

![Figure A.1](image)

Figure A.1. Left – porosity of a Finney pack decreasing with the increasing radius of each sphere. Middle – the specific surface area decreasing with the increasing radius of each sphere. Right – the specific surface area increasing with increasing porosity (black). The red curve is computed from Equation (A.1) with fixed sphere radius 1 mm. The blue curve is also computed from Equation (A.1) but with respectively increasing sphere radius.

In the same figure we display the calculated specific surface area versus the radius and the specific surface area versus the porosity. In the latter frame, we also display a theoretical curve according to equation

\[ s = 3(1 - \phi)/r \]  

(A.1)

for varying porosity and fixed radius \( r = 1 \) and for varying porosity and varying radius. Neither of these two theoretical curves even qualitatively matches the computed specific surface area.