

## **Risk of Seismicity from Potential Direct-Use Operations in the Appalachian Basin Geothermal Play Fairway Project**

Franklin G. Horowitz, and the Appalachian Basin GPFA Team

Dept. Earth and Atmos. Sciences, 3134 Snee Hall, Cornell University, Ithaca, NY 14853 USA

frank.horowitz@cornell.edu

**Keywords:** Direct Use, Play Fairway, Induced Seismicity, Faults, Potential Fields, Wavelets

### **ABSTRACT**

A collaborative effort between Cornell University, Southern Methodist University, and West Virginia University has been sponsored by the US Department Of Energy to perform a Geothermal Play Fairway Analysis of the low temperature direct use potential for portions of the Appalachian sedimentary basin in New York, Pennsylvania and West Virginia - abbreviated here as GPFA-AB.

One risk factor - of several analyzed for the GPFA-AB - is whether a candidate location is near an active fault, and thereby potentially susceptible to induced seismicity from geothermal operations. Existing fault maps do not share the GPFA-AB boundaries or scale. Hence, their use leads to problems of uneven coverage, varying interpretation of faults vs. lineaments, and different mapping scales.

For more uniformity across the GPFA-AB region, we use an analysis of gravity and magnetic fields. Multiscale-edge Poisson wavelet analyses of potential fields ("worms") have a physical interpretation as the locations of lateral boundaries in a source distribution that exactly generates the observed field. Not all worms are faults, and of faults, only a subset might be active. Also, worms are only sensitive to steeply dipping structures.

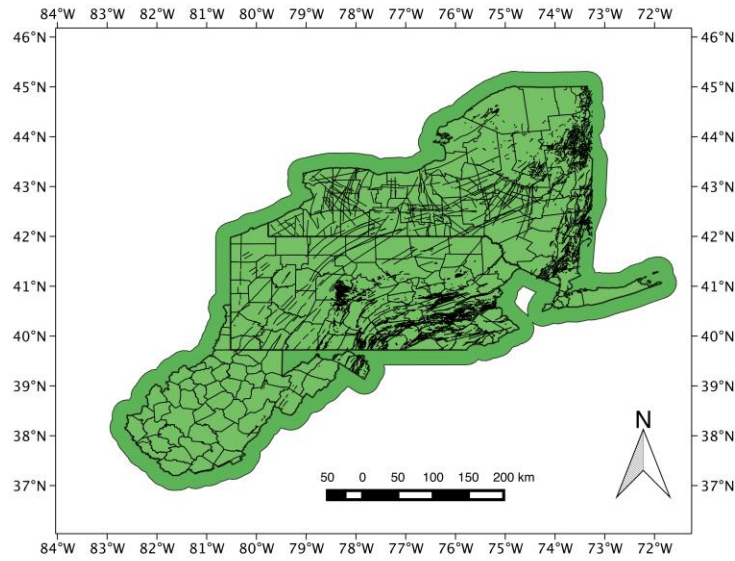
To identify some active structures, we plot worms and intra-plate earthquakes from the NEIC, and EarthScope TA catalogs. Worms within a small distance of epicenters are tracked spatially. To within errors in location, this is a sufficient condition to identify structures that might be active faults - which we categorize with higher risk than other structures. Plotting worms within World Stress Map  $\sigma_1$  directions yields an alternative approach to identifying activatable structures. Here, we use worms to identify structures with strikes favorably oriented for failure by Byerlee's law. While this might be a necessary criterion for fault activation it is not a sufficient one - because we lack detailed information about stress magnitudes throughout the GPFA-AB region.

### **DISCLAIMER**

The information, data, or work presented herein was funded in part by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

### **1. INTRODUCTION**

Existing fault maps (Figure 1) do not share the Appalachian Basin Geothermal Play Fairway Analysis (GPFA-AB) boundaries or scale. Hence, their use leads to problems of uneven coverage, varying interpretation of faults vs. lineaments, and different mapping scales. For more uniformity across the GPFA-AB region, we use an analysis of gravity and magnetic fields.

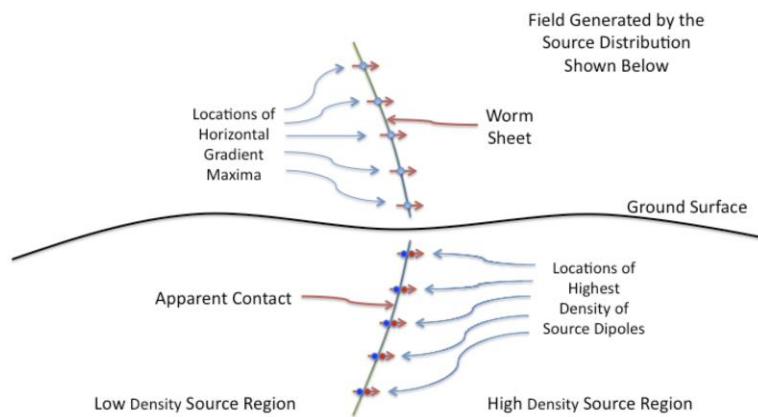


**Figure 1. Mapped faults and other lineaments available in GIS form on the GPFA-AB server. Note the uneven regional coverage and un-geological artifacts such as state-boundary truncations of apparent structures.**

**2. POISSON WAVELET MULTISCALE EDGE (‘WORM’) ANALYSIS**

In order to provide a spatially uniform coverage of candidate faults, we turned to the Poisson wavelet multi-scale edge analysis of potential fields – informally known for brevity as the ‘worm’ technique – developed starting nearly 20 years ago: Hornby et al. (1999) (independently derived by Moreau et al., 1997). This technique – widely deployed in the mining community in Australia and elsewhere (e.g. GoldCorp, 2001) – uses gravity and magnetic fields to detect lateral contrasts in mass density or magnetization strength respectively. Figure 2 displays a cartoon summary of the technique.

**Physical Interpretation of the Worms (Induced Inversion)**



**Figure 2: A vertical cross-section cartoon of the worm technique. The gravity or magnetic field is notionally known completely at the ground surface. The field is upward continued to a suite of heights. Hornby et al. (1999) show that each level of upward continuation corresponds to a (continuous) wavelet scale. The locations of maxima in the horizontal gradient of the field at each height become an edge (or a ‘worm’) for the corresponding scale (the intersection of these 1D features with the plane of section are shown as blue dots above ground), the collection of edges at all scales are ‘multiscale edges’. A suite of worms arising from connected locations on the ground is a ‘worm sheet’. As explained in the text, an underground inversion is induced via a physical interpretation of the inverse wavelet transform as a distribution of dipole sources. Draping the worm sheets underground (blue and red dots) results in a visualization of the locations of highest density of dipole sources. These are interpreted as the locations of apparent lateral contacts.**

Some theoretical advantages of the technique include:

- Marrying wavelet theory and potential field physics by building a wavelet from the Green's function of the Poisson equation (Laplace's equation with sources).
- The inverse wavelet transform has a physical interpretation as an *induced* inversion to a dipole source distribution (Boschetti et al., 2001; Hornby et al., 2002) that produces a field that is *exactly* the starting field. The regularizing assumption is that 'Rocks Have Edges'.
- The field values at the locations of the multiscale edges (worms) alone, when combined with the inverse wavelet transform above, produce a field that is a close approximation of the starting field via the result from Mallat and Zhong (1992).

Hornby et al. (1999) show that the magnitude of the horizontal gradient – normalized appropriately to correspond with wavelet theory – changes amplitude with upward continuation/scale-change in such a fashion as to identify the Lipschitz exponent (related to the fractal dimension) of the underlying singularity in the source distribution. That is, if we define

$$M(x,y,z) = (z/z_0) \parallel (\partial_x + \partial_y) f(x,y,z) \parallel \quad (1)$$

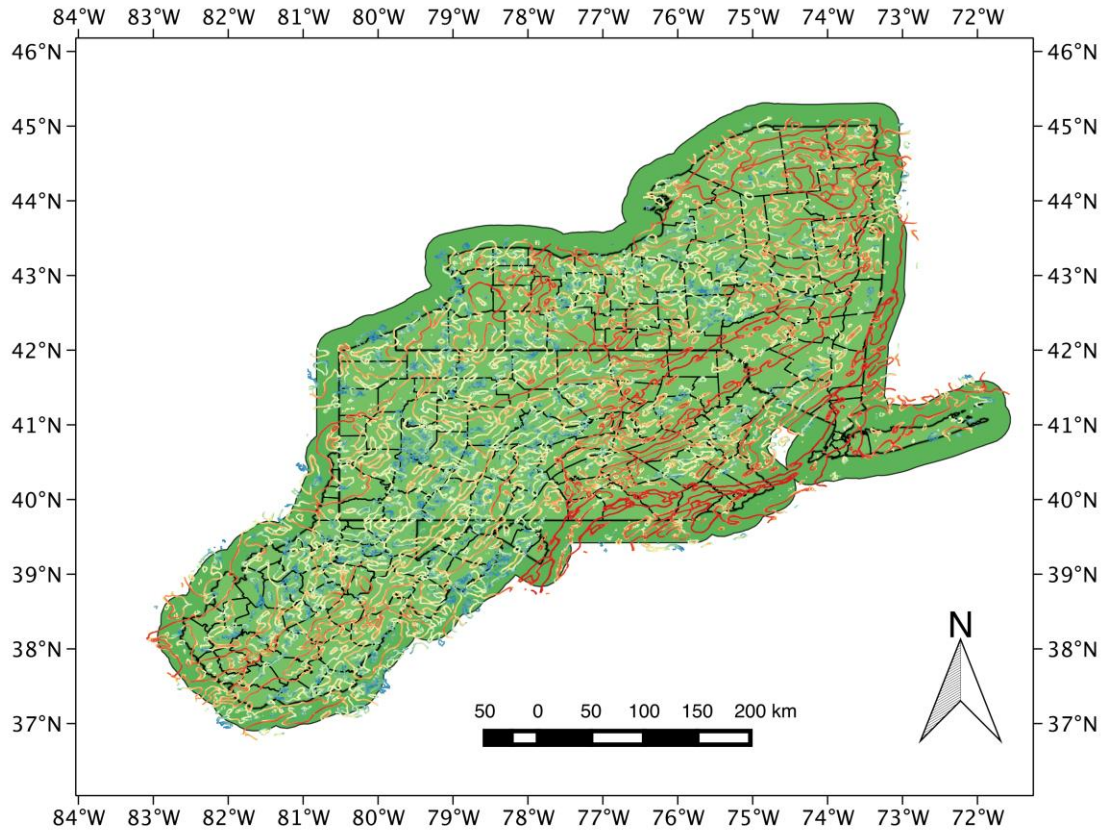
where  $\partial_x$  and  $\partial_y$  are (vector-valued) gradient operators in the x and y directions respectively,  $\parallel \bullet \parallel$  denotes the Euclidean length of the vector sum, and  $f(x,y,z)$  is our potential field as a scalar function of height and lateral position (e.g.  $f = \parallel g_z \parallel$  for gravity surveys, or  $f =$  pseudogravity for magnetic surveys) then  $\partial M / \partial z$  is the quantity of interest in determining the Lipschitz exponent.  $M$  is usually displayed as the worm color, and one can visually assess  $\partial M / \partial z$  from the graphical representation. The Lipschitz exponent concept is closely related to the geophysically-more-widely-known 'structural index' from Euler deconvolution (e.g. Reid et al., 1990). A masters thesis (Navarrete, 2015), as well as work by Carpenter et al. (2015) has shown that the locations of worms and Euler solution routinely coincide, but that the worm technique offers significantly enhanced lateral coverage over the Euler deconvolution solutions as well as better proximity statistics to recorded earthquakes.

Some practical advantages of the worm method include:

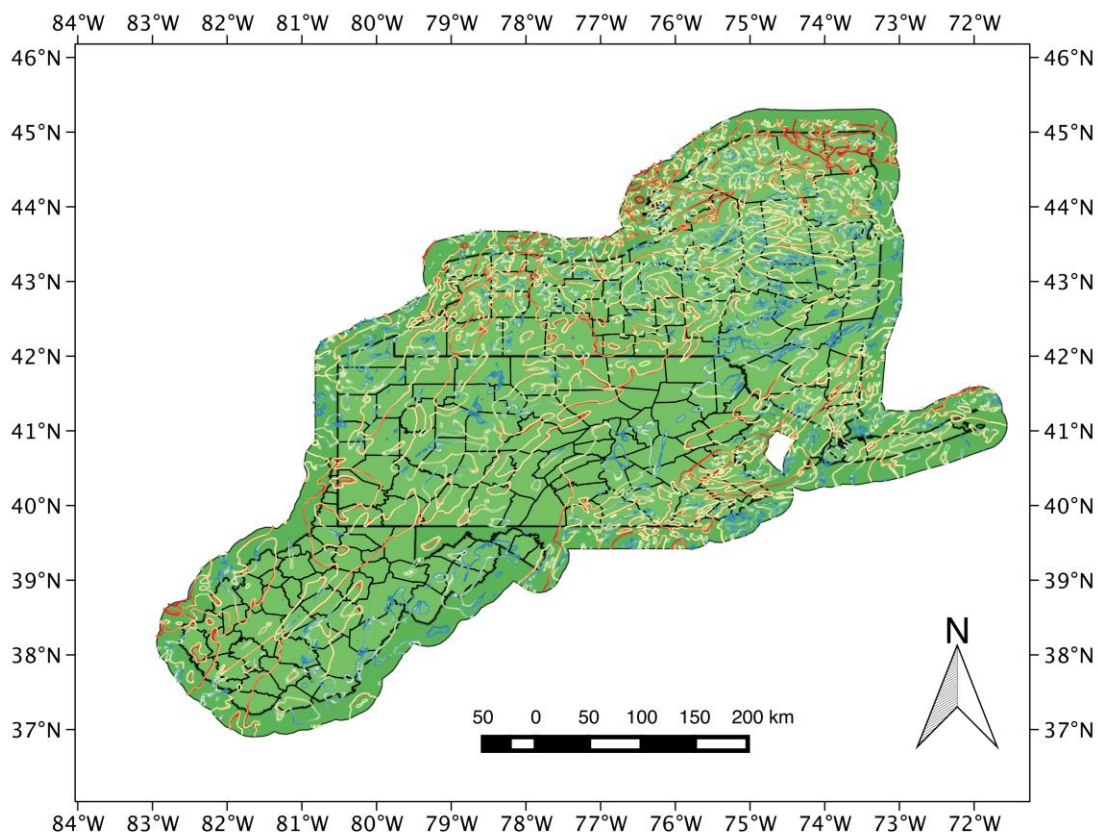
- When draped underground as in Figure 2, the worms resemble lateral contacts and reproduce (at least near the surface) the sense of dip of the contact. This provides an immediate cue towards a sensible geologic interpretation. Unfortunately – once getting beyond 'shallow' and 'steep' – the magnitude of dip is more problematic, since the field is due to more than one body and interactions between sources cause complexities. Jessell (2001) summarizes a large number of cases of worm behavior for different structural geologic settings.
- The worms commonly extend information about lateral discontinuities over large regions. This offers the geological interpreter a chance to connect structures that might not be recognized as being related.
- Deep worms tend to represent (smoothed) major lateral boundaries. By following the worm sheets upwards, the connected shallow expressions can be identified. At the scale of the GPFA-AB, those major lateral boundaries are commonly associated with terrane boundaries or other major tectonic sources.

The worms are best visualized in 3 dimensions in order to see their interrelations. In previous efforts, that has led to working with them primarily in graphical visualization packages such as VTK (Schroeder et al., 2004) and VisIt (Childs et al., 2005) or commercial mining industry visualization packages such as FracSIS (RungePincockMinarco, 2015). For the GPFA-AB project, we need to incorporate the worms with other GIS information, but few GIS packages deal fluidly with 3D visualization. ArcScene – a component of ArcGIS – can in fact display 3D GIS information, but worms present a serious performance problem for ArcScene due to the large number of individual items that must be displayed. For the GPFA-AB project, we work around this problem by displaying worms from a restricted series of depths in 2D map view in ArcMap or QGIS, but the results are less useful than a wished-for performant 3D GIS could produce.

The worm results for the GPFA-AB project are calculated by open-source code described in Horowitz and Gaede (2014). A git repository of that code may be found at <<https://bitbucket.org/fghorow/bsdwormer>> with its complete revision history. Figure 3 shows worms calculated using that software from a 2.5 km resolution interpolation of the gravity Bouguer anomaly calculated from the GPFA-AB study region. The gravity station measurements were drawn both from the PACES database (Hildenbrand et al., 2002) and from a preliminary compilation filling in gravity stations in Pennsylvania (Malinconico and Moore, 2013). The preprocessing for those data – performed in the commercial software Oasis/Montaj – included selected removal of outliers and interpolation using a minimum curvature algorithm. Figure 4 shows worms calculated using that software from a 1.25 km resolution interpolation (Ravat et al., 2009) of the magnetic pseudogravity anomaly (e.g. Blakely, 1996) from the GPFA-AB study region. The pseudogravity calculation from the underlying magnetic grid of Ravat et al. was also performed in Oasis/Montaj.



**Figure 3:** Displayed are gravity worms from the GPFA-AB region. The worms are from upward-continued heights (corresponding to depths as discussed above) ranging from 1 to 3 km inclusive. Each worm segment is colored according to  $\log_{10}$  of the  $M$  defined in equation (1) above. The worm color scale ranges from violet for low values of  $M$  to red for high values of  $M$ . See text for a more complete description.

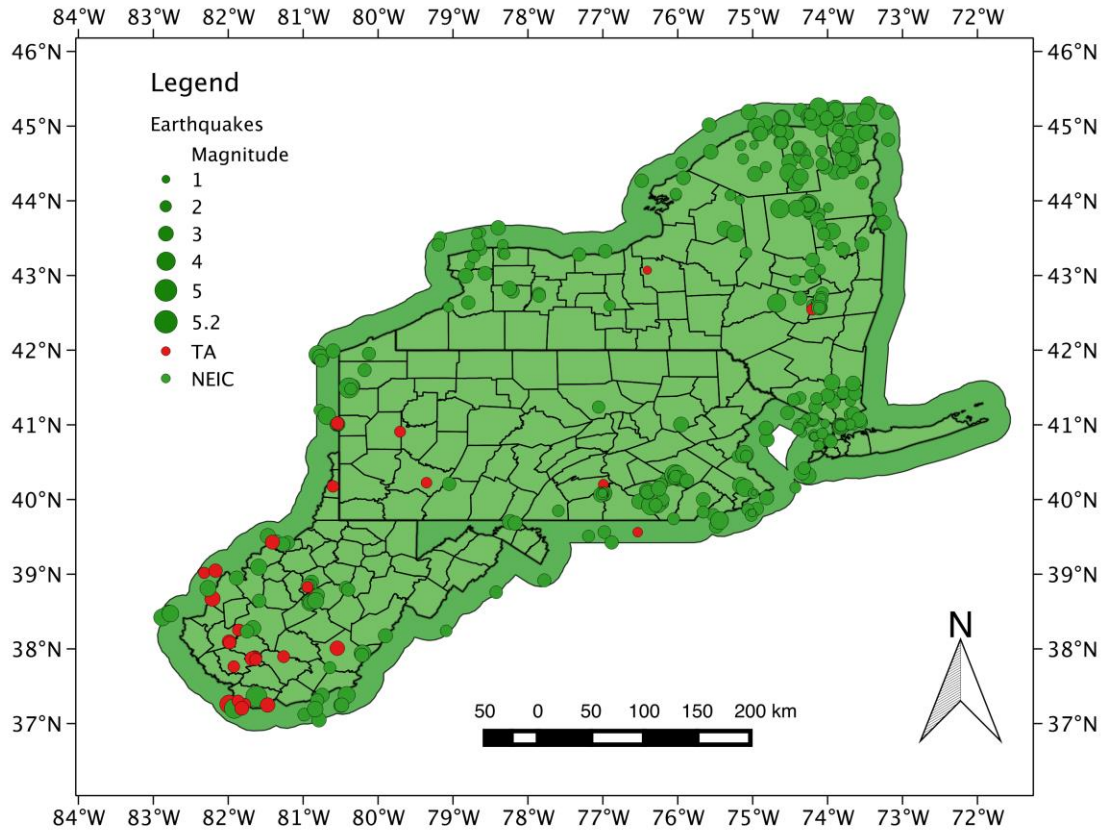


**Figure 4:** Displayed are magnetic worms from the GPFAB region. The worms are from upward-continued heights (corresponding to depths as discussed above) ranging from 1 to 3 km inclusive. Each worm segment is colored according to  $\log_{10}$  of the  $M$  defined in equation (1) above. The worm color scale ranges from violet for low values of  $M$  to red for high values of  $M$ . See text for a more complete description.

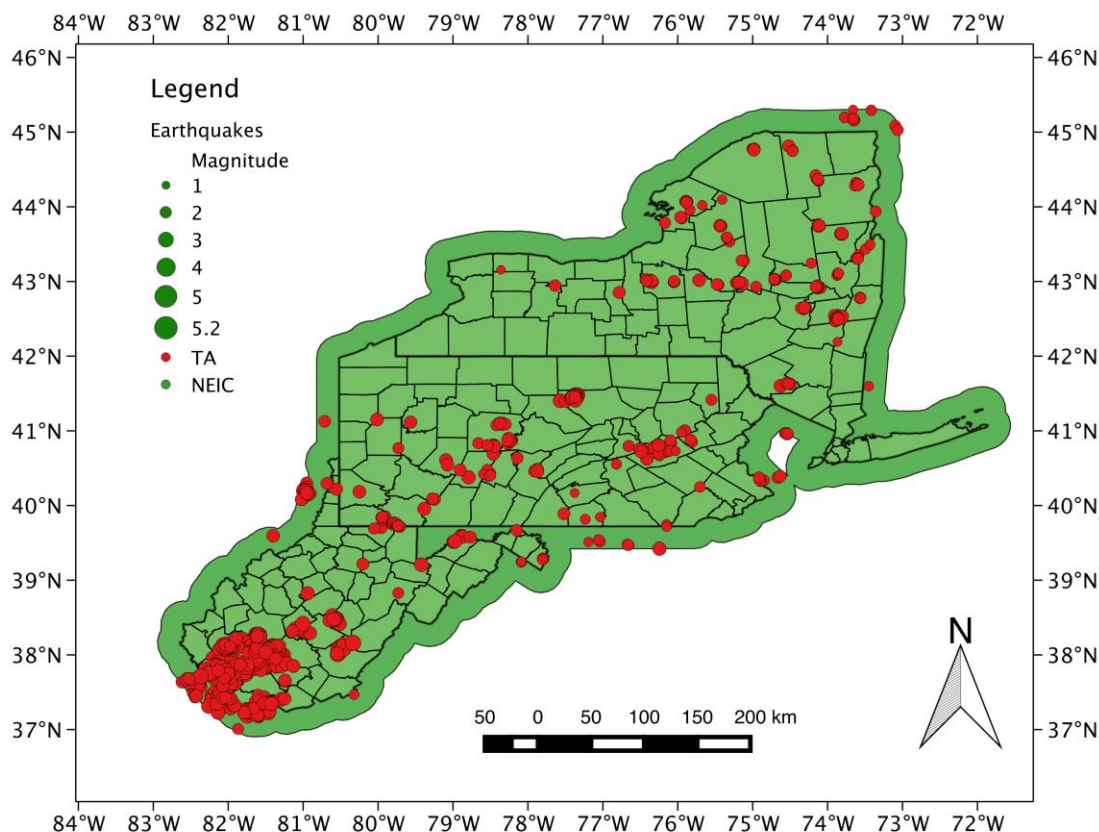
### 3. RECORDED SEISMICITY

Displayed in Figure 5 are locations of all earthquakes in the region retrieved from two catalogs: the US National Earthquake Information Center’s (NEIC) catalog – from 1 January 1965 through 31 May 2015, and EarthScope’s Transportable Array (TA) catalog of events from the Array Network Facility recorded during the TA’s deployment in the region. The date range for events from the TA are 16 March 2011 through 31 May 2015.

Importantly, the NEIC catalog, drawing on USGS seismologists’ manual efforts, identifies events that are categorized as earthquakes – as opposed to (e.g.) blasting events associated with mining or quarrying – enabling a simple database query to retrieve only earthquake events. Unfortunately, the TA catalog has no such categorization underpinning it (Astiz et al., 2014) – which resulted in our initially including events that were almost certainly artificial blasts in the combined catalog. This was most discernible in the coal mining areas of southwest West Virginia, which appeared to have a large and active region of seismicity when plotting the raw TA data. After consultation with colleagues at the West Virginia Geological and Economic Survey drew our attention to this error, GPFAB investigator Beatrice Magnani of SMU suggested implementing an approximate de-contamination algorithm based on a time-of-day attribute of anthropogenic mining blasts. Quoting Astiz et al. (2014), “...mine blasting in the United States is allowed only between sunrise and sunset (Mining Safety and Health Administration, Title 30 CFR, MSHA, U.S. Department of Labor).” This led us to remove all TA events in the GPFAB region occurring between 07:00 and 18:00 local times. While those are only approximate local hours for sunrise and sunset, that simple algorithm removed the vast majority of TA events in the coal mining region of southwestern West Virginia – as well as some suspicious events from quarries located near to the New York State Thruway corridor and others possibly associated with shale gas hydraulic fracturing activities in regions of Pennsylvania associated with Marcellus shale development (Figure 6). Clearly, however, some natural earthquakes might also have been removed by this approximate algorithm. The odds of retaining detected natural seismicity in the TA results are only 13 in 24 because 11 hours each day were rejected. This unfortunate feature of our analysis must remain until seismologists can evaluate seismograms for the characteristics of blasts (e.g. emergent P arrivals, low amplitude S arrivals) from all 1647 TA events flagged as “daylight” in our region – deemed well beyond the scope of this study.



**Figure 5:** Displayed are earthquake epicenters from the GPFA-AB region. Shown in green are earthquake events drawn from the NEIC catalog. Shown in red are events not identified as potential blasts drawn from the TA catalog after application of the approximate de-contamination algorithm described in the text. Earthquake magnitudes  $M_L$  are displayed proportional to the radius of each event.

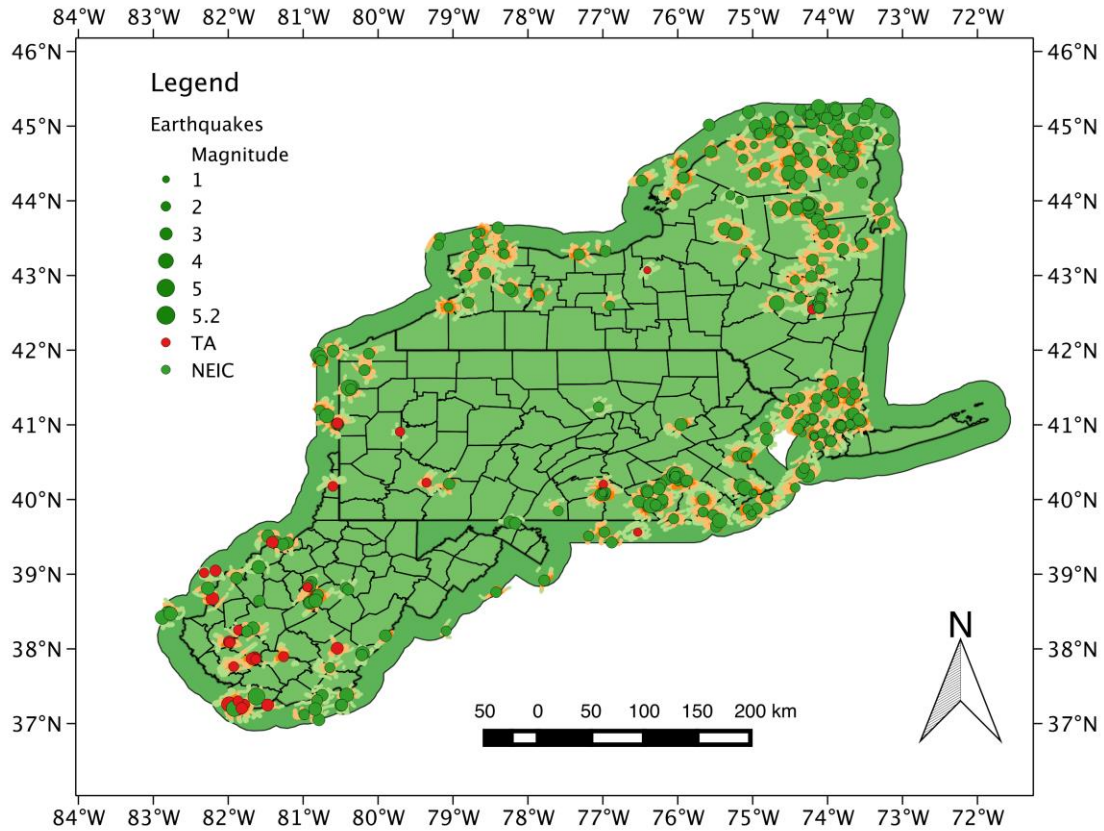


**Figure 6: Displayed are suspected mining and quarry blasts from the GPFA-AB region. Shown in red are events identified as suspected mining and quarrying blasts drawn from the TA catalog after application of the approximate decontamination algorithm described in the text. Earthquake magnitudes ML are displayed proportional to the radius of each event.**

#### 4. IDENTIFYING ACTIVATABLE FAULTS

##### 4.1 Proximity to seismic events

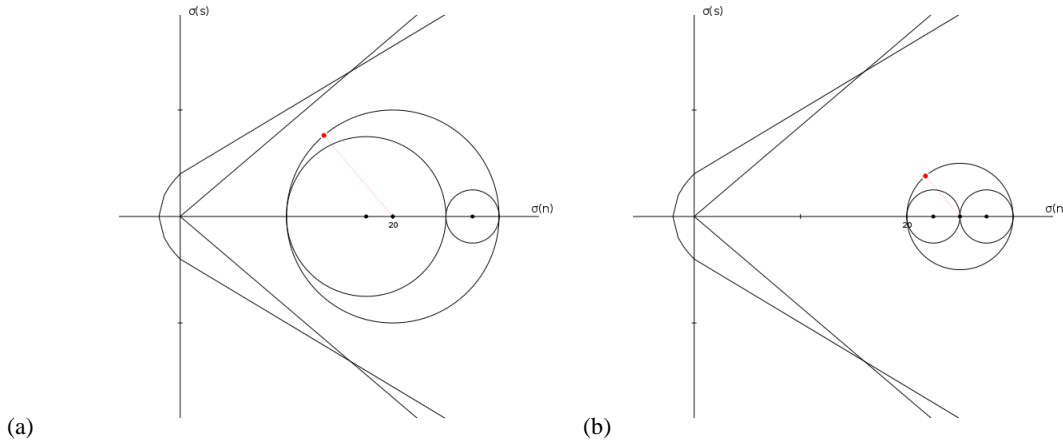
One method for identifying active faults was to simply find (via GIS methods) those worms that are physically close to a recorded earthquake. Under the assumption that any earthquake epicenters from sparse seismometer locations and poorly known velocity structures would yield mislocations of some distance, it was felt that this was an appropriate ‘objective’ way of identifying activated faults. Figure 7 displays a subset of those worms from figures 3 and 4 that are near to earthquakes. These structures are deemed to have an elevated risk factor for seismicity. Please note that the example distance ranges in 5 km increments shown in Figure 7 are not the actual values chosen in the combined risk factor assessment map for the overall GPFA-AB project – which were chosen based on statistical considerations. Also, for error estimates, all earthquake locations were assumed to have 2.5 km standard deviation circles, while gravity and magnetic worm point location errors were assumed to have 500m and 250m standard deviations respectively. A clear drawback of the technique is that it only identifies structures active recently enough to have instrumentally recorded earthquakes.



**Figure 7: The subset of worms from both figures 3 and 4 that are found within specified ranges of the recorded earthquakes described in Figure 5. Shown in red are worm points within 5km of an earthquake; in orange are points between 5 and 10km; in yellow are points between 10 and 15km; and in light green are points from 15 to 20km.**

#### 4.2 Orientation in estimated regional stress field

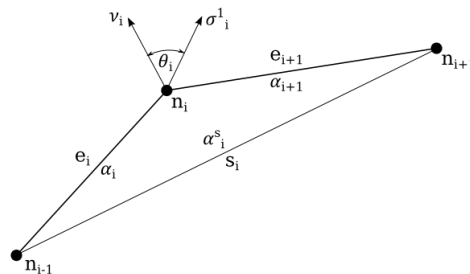
After discussions with several people both inside the project and outside (including David Castillo, a former director of the oilfield borehole stability consultants Geomechanics International – now part of Baker- Hughes), it became clear that a potentially more relevant approach to estimating the risk factor for seismicity would be to determine the angle of a structure to the regional direction of the principal compressive stress ( $\sigma_1$ ). This is supported by examination of Figure 8, which shows some relevant Mohr’s circles along with both Byerlee’s Law (Byerlee, 1978) and Griffith-Coulomb failure envelopes. In those Mohr-space figures, two planes best oriented for failure by Byerlee’s Law are marked with red dots. (There are two additional symmetrical orientations in the lower half of the Mohr diagram not shown for visual simplicity.) Those planes with normals not parallel to the principal stress directions would plot in the interstitial crescents between the circles. This leads to the conclusion that the angle a candidate plane normal makes from  $\sigma_1$  is a sensitive parameter for proximity to failure under a Mohr-Coulomb failure model (Rick Allmendinger, pers. comm., 2015).



**Figure 8:** Shown in (a) are 3D Mohr's circles for notional principal stresses of 30, 25, and 10 MPa, along with failure envelopes for both pre-existing fractures (Byerlee's Law – straight line envelope; coefficient of friction  $\mu = 0.85$  and zero cohesion) and failure of intact rock (Griffith- Coulomb criterion – curved envelope). Similarly for (b), but with a different value of  $\sigma_3 = 20$  MPa resulting in a significantly further from failure situation. In both plots, the poles of one orientation of the closest-to-failure planes are plotted as a red dot on the circumference of the outer ( $\sigma_1$ – $\sigma_3$  plane) circle. The angles of poles to the closest-to- failure plane are identical in the two situations even though (b) is less risky than (a) because its red dot is further away from a failure envelope. Plotted using Rick Allmendinger's MohrPlotter software.

One major caveat: that orientation-in-a-regional-stress-field conclusion holds true wherever the actual state of stress is known (i.e. where the radii of the Mohr's Circles in figure 8 are established). In our situation however, we have very little information about the magnitudes of the principal stresses – other than the trivial vertical lithostatic case due to burial depth and  $\rho gh$ . An unavoidable consequence of that fact is that any risk estimates we make using this technique are *local only*. Local changes in risk nearby along worm segments should be *qualitatively* captured – assuming locally smooth changes in stress magnitudes. However, quantitatively comparing the seismic risk factor from one location to another location at some distance removed is not feasible because the unknown stress magnitudes also play a role *not captured by orientation*. Hence, segments identified as possessing the same 'risk factor' using this technique will *unavoidably have different quantitative risks of seismicity*. Another way of saying the same thing is that planes with poles nearly normal to the Byerlee's Law envelope in both figures 8a and 8b, will be estimated to have the same risk using this technique, even though the situation in figure 8a is significantly closer to failure.

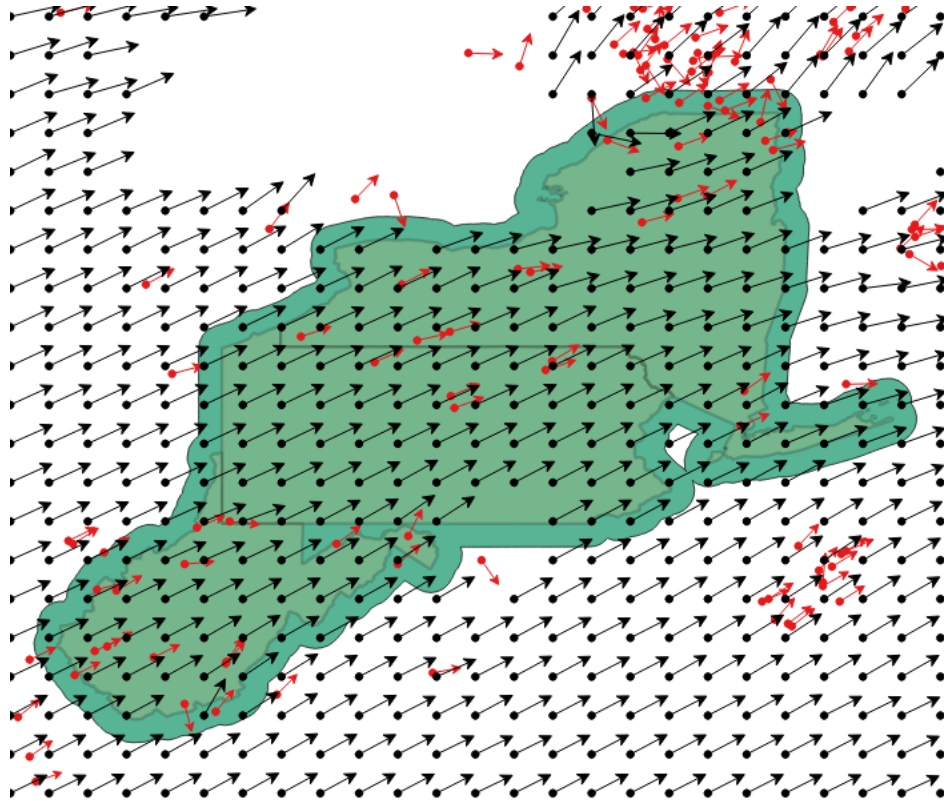
We turn the orientation-in-a-regional-stress-field sensitivity conclusion into a practical method for determining a quantitative index of seismic risk (and its error) via the following procedures. Figure 9 shows the relevant geometry for estimating worm orientation and error at each worm point. The worm azimuth at node  $n_i$  is estimated as  $\alpha_i^s$ , and the normal to that direction is the unit vector  $v_i$ . The circular error variance of both  $\alpha_i^s$  and  $v_i$  is computed as follows. Briefly, if one half of the magnitude of the vector sum of unit vectors in the  $\alpha_i$  and  $\alpha_{i+1}$  directions is defined as  $R$ , the circular variance ( $S_0$ ) is defined as  $1 - R$  (Mardia, 1972, Eq. 2.3.5), and its associated (von Mises distribution equivalent of) standard deviation is given by  $s_0 = (-2 * \log(1 - S_0))^{1/2}$  (Mardia, 1972, Eq. 2.3.12). That procedure establishes the local worm orientation, its normal unit vector (under an approximation of vertical dip), and provides an estimate of error for those quantities. Hence, via that procedure all required quantities are assigned to nodes rather than edges.



**Figure 9:** Map view of the relevant geometry of worm segments in a regional stress field. Displayed with labels  $n$  and  $e$  are nodes and edges (respectively) of the worm segments computed by the code described by Horowitz and Gaede (2014). All subscripts in this figure denote indices for consecutive elements of worm geometry components. The angles  $\alpha_i$  denote the azimuthal (strike) angles for the corresponding segments  $e_i$ . The so-called secant line between nodes  $n_{i+1}$  and  $n_{i-1}$  is labeled  $s_i$ , and its azimuthal strike angle is labeled  $\alpha_i^s$ . That azimuth  $\alpha_i^s$  is assigned to node  $n_i$ , as is the orientation of a unit vector normal to  $s_i$  denoted here as  $v_i$ . Also shown at node  $n_i$  is a unit vector in the direction of the maximum principal compressive stress  $\sigma_i^l$ . The angle  $\theta_i$  between  $v_i$  and  $\sigma_i^l$  is the primary risk index for point  $n_i$  under this approach.

**The analysis described here and in the text is repeated for all worm nodes except for those at the ends of individual worm segments – where angle variances are ill-defined. See the text for more discussion on why these quantities were selected.**

Next, we turn to estimating the orientation of the regional stress field from the World Stress Map (WSM) project (Heidbach et al., 2010). Figure 10 shows the locations of both the primary observations of  $\sigma_1$  orientations (in red), and the result of a smoothing algorithm that plots the orientations on a 0.5 degree of arc grid (in black). Briefly, the WSM smoothing algorithm weights observed stress orientations by quality – 1/15, 1/20, and 1/25 for A, B and C WSM observation qualities respectively. Then at each candidate point where an interpolation is to be estimated it collects all observations within a 1000 km radius. If there are more than 5 observations included, it continues, otherwise it stops, “censors” that point from the interpolation, and moves on to the next candidate interpolation point. If a candidate interpolation point is still valid, the algorithm additionally weights those observations by the inverse of the distance between an observation and the interpolation point (to a minimum allowed distance of 20 km), takes those exact quantities, and performs a Mardia (1972) style average direction and error estimate. If the standard deviation is less than  $25^\circ$ , the procedure stops and those average orientations and error estimates are used. If, however, the standard deviation is greater than  $25^\circ$ , the algorithm reduces the search radius by 100 km, and repeats itself down to a minimum search radius of 100 km. Thus, there are 2 separate ways in which a point can be “censored” from an interpolation: by having fewer than 5 observations within a search radius, or never finding a standard deviation of less than  $25^\circ$  for all search radii down to 100 km.



**Figure 10: Principal compressive stress ( $\sigma_1$ ) directions from the World Stress Map (WSM: Heidbach et al., 2010). Plotted in red are all regional primary observations from the WSM drawn from their quality ratings of A, B, or C. Plotted in black are their smoothed results. The smoothed directional field is evaluated on gridpoints of spacing 0.5 degrees of arc, using a censoring procedure also described in their paper. Gaps in the smoothed (black) field result from that decimation.**

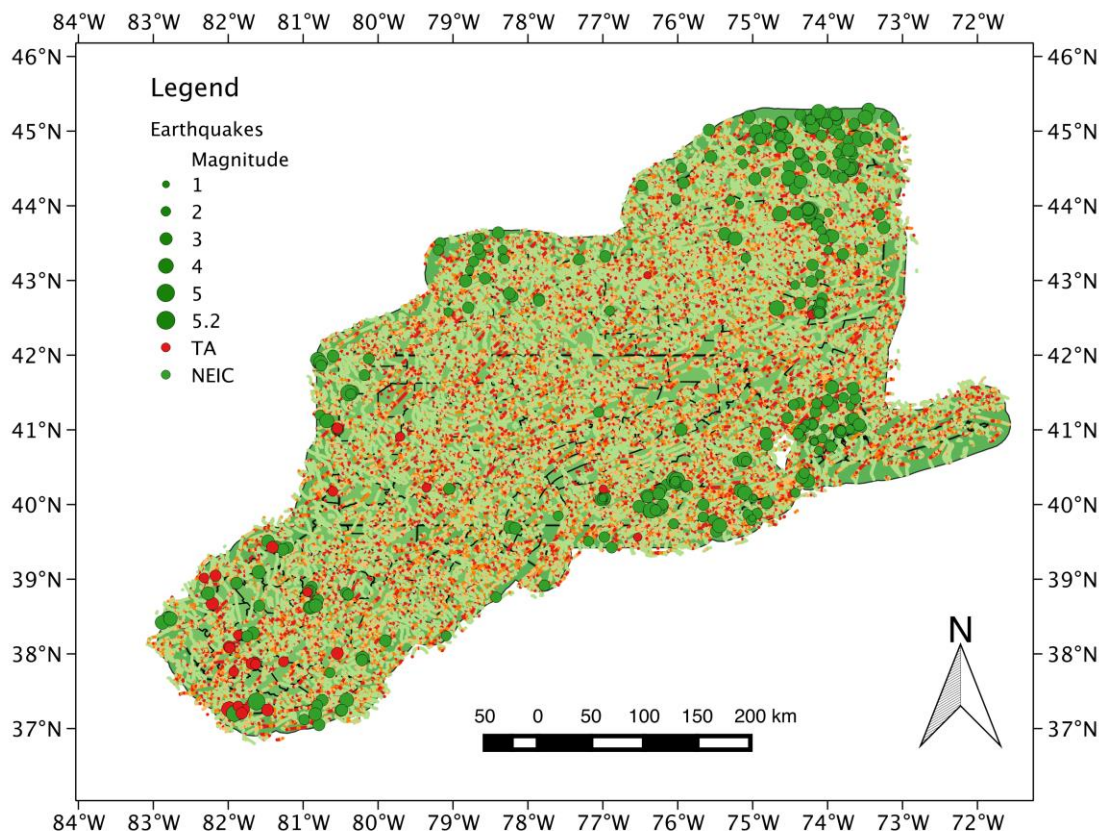
Heidbach et al. (2010) cite Mardia (1972) as the origination of the quality and inverse-distance weighted spatial interpolation algorithm they use. We believe that is inaccurate – because one of Mardia’s key concepts is to always work on the unit circle, which is violated by weighting the vector lengths as described above. Additionally, Mardia (1972) does not contain any mention of spatial interpolations. Hence, for better or worse, we attribute that algorithm to Heidbach et al. (2010). We perform the algorithm just described to estimate the regional stress orientation and the associated angular error at the position of each worm point.

The final component of the orientation-in-stress-field risk estimation procedure is to calculate the angle between the  $\sigma_1$  direction and the worm segment normal direction, and to compare that angle with the angular values most favored for failure in Figure 8. For the depth ranges appropriate for this work, the normal stresses are likely to be below 200 MPa and thus Byerlee’s Law (Byerlee, 1978) claims a coefficient of friction of  $\mu = 0.85$  as appropriate for a broad range of rock types. We adopt that value here, but inspection of the figures in Byerlee (1978) actually shows a *wide scatter of coefficients of friction* that could easily affect the results shown here.

For reference, converting that coefficient of friction value to the angular orientation of the normals ideally oriented for failure by Byerlee’s law (the red lines in Figure 8) proceeds as follows. Denoting  $\theta_B$  as the Mohr space angle between the Byerlee failure envelope

and the  $\sigma_I$  direction, examination of the relevant geometry implies that  $\theta_B = \tan^{-1}(\mu) \approx 40.4^\circ$ . Hence, the complement of  $\theta_B$  is about  $49.6^\circ$ , and the supplement of that ( $\approx 130.4^\circ$ ) is the Mohr-space angular deviation from the  $\sigma_I$  direction of the highest-risk orientation. Accordingly, by the properties of the Mohr diagram construction, the critical angle in real-space is  $\theta_{i,crit} \approx 130.4^\circ/2 = 65.2^\circ$ . There is another critical orientation with the opposite sense (not plotted for visual simplicity) in Figure 8. The end result is that there are two critical  $\theta_i$  deviations from the  $\sigma_I$  direction,  $\theta_{i,crit} = \pm 65.2^\circ$ .

Thus, we establish angular risk categorization for this orientation-in-stress-field analysis by identifying arcs of orientations of  $\pm$  a specified angular range around both sides of both critical orientations. Figure 11 illustrates that technique for ranges increasing by  $5^\circ$  between risk categories.

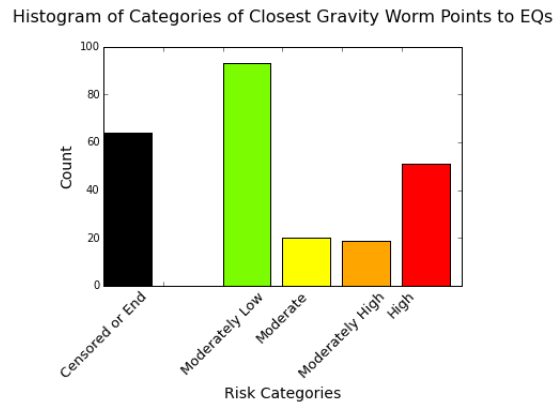


**Figure 11:** Worms combined from both figures 3 and 4 showing relative risks due to orientation in the estimated regional stress field. Points are colored red for the case where worm segments are within  $5^\circ$  on both sides of the  $\theta_{i,crit}$  values; orange for an additional  $5^\circ$  arc outside the red range; yellow for an additional  $5^\circ$  arc outside the orange range; and light green for all positions on structures oriented outside the yellow range. In this classification, all points on structures identified by worms have slightly elevated (“moderately low”/light green) risks of seismicity simply due to the fact that they are on identified geological structures – which are heterogeneities that might localize stresses. Points not on worms are assigned to the “low-risk” category, and are not explicitly plotted in this figure. Also not shown in this figure are the error estimates calculated from the procedure discussed in the text – although both the relative orientation and error values were incorporated into the combined risk estimate phase of the GPFA-AB project.

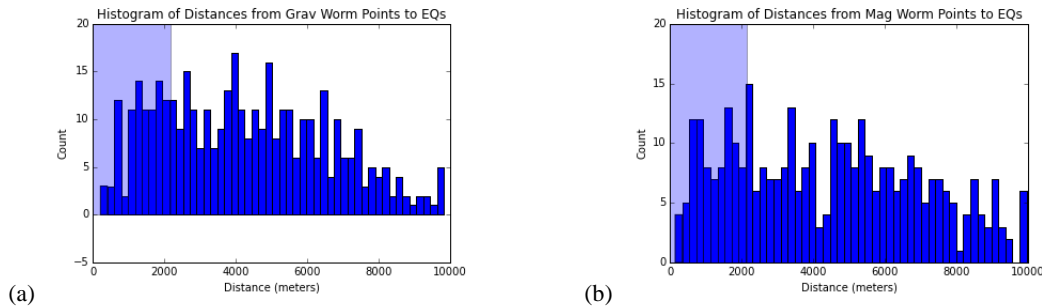
Once again, the specific break values in risk categorization discussed here are illustrative, and were not the final categorization used in the overall risk-factor merging process.

To judge whether the orientation-in-stress-field technique is skillful in finding active faults (and hence, presumably activatable faults located away from historically recorded seismicity), in Figure 12 we plot a histogram of the classification of worm points nearest to the recorded earthquakes (i.e. those shown in Figure 5). Were the technique to be highly skillful, one would expect to see a monotonic decay in counts with increasing misorientation from the critical value. What we actually observe is that the closest orientations do contain a significant fraction of the recorded earthquakes, but that the next two categories (orange and yellow) slightly *increase* in count with misorientation. The light green (poorly oriented for failure) bar contains approximately as many earthquakes as the red, orange, and yellow categories combined.

One interpretation of the poorly-oriented-for-failure count is that the worm technique does not actually find all active faults. Figure 13 (from Carpenter et al., 2015) shows that – for a slightly different but overlapping region – a significant fraction of recorded earthquakes are within their depth error (assumed to be a representative distance derived from the ellipsoidal location error) from a worm point. Hence, we have evidence that the worms are doing a reasonable job of identifying active structures which argues against this interpretation.



**Figure 12. Slip Orientation Classification Statistics.** Using the procedure just described, the vast majority of both gravity and magnetic worm points were assigned a risk classification – exceptions are 1) special cases of the end nodes from worm segments where we could not estimate orientation error and 2) worm points that were “censored” by the Heidbach et al. (2010) smoothing procedure. Here, we plot the category of the nearest worm point to recorded earthquakes. The red bar is for worm points with misorientations within 5° of critical, the orange bar between 5° and 10° of critical, and the yellow bar between 10° and 15° of critical. The green bar is for all remaining orientations. The black bar is for the ‘other’ special cases just described.



**Figure 13. Proximity Histograms (from Carpenter et al., 2015).** Shown in (a) is a histogram of the distance to the nearest gravity worm point to the location of a recorded earthquake. The purple shaded background at the short ranges shows the RMS of depth errors for the seismic events used in that study. Shown in (b) is the corresponding histogram for magnetic worms.

An alternative interpretation of the height of the green bar in Figure 12 is that the orientation-in-stress-field approach used here simply is not very skillful at identifying active faults. That is, even though we lack stress magnitude information, if the Byerlee’s Law and orientation approach were valid, and if our stress-field-orientation interpolation was accurate, we still should find that the orientations closest to critical are the ones that are activated. Clearly, that is not what is observed in this study. For now, ‘not very skillful’ is the favored interpretation of the first author of this paper—however clearly more detailed work could be performed on this topic.

**5. CONCLUSION**

Some lateral discontinuities detected by worms are active faults, even in intra-plate settings. Clearly, not all worms are faults, and of faults, only a subset might be active. Also, worms are only sensitive to steeply dipping structures. Worms nearby to EQs are candidate active faults, and a technique for identifying those has been described in section 5a of this paper. Orientation-in-principal-stress-directions as described in section 5b of this paper, while a necessary condition for induced seismicity under a Byerlee’s Law model, doesn’t actually appear to work very well for natural seismicity. Possible reasons for this apparent lack of skill are that we lack stress magnitude information, and hence our risk estimates are only qualitative. A different line of argument explaining this apparent lack of skill is that Byerlee’s Law/Mohr-Coulomb failure is *not a correct model* for seismicity. Indeed, if Mohr-Coulomb failure were all there were to seismicity, the general earthquake prediction problem would have been solved in the 1970s!

In any case, we’ve mapped locations where direct use geothermal prospects appear to be at higher risk of inducing seismicity than for other locations – which was the goal of this part of the Appalachian Basin GPFA project.

## REFERENCES

- Astiz, L., Eakins, J. A., Martynov, V. G., Cox, T. A., Tytell, J., Reyes, J. C., Newman, R. L., Karasu, G. H., Mulder, T., White, M., Davis, G. A., Busby, R. W., Hafner, K., Meyer, J. C., and Vernon, F. L. (2014). The Array Network Facility Seismic Bulletin: Products and an Unbiased View of United States Seismicity. *Seismological Research Letters*, 85(3):576–593. <http://dx.doi.org/10.1785/0220130141>.
- Blakely, R. J. (1996). *Potential Theory in Gravity and Magnetic Applications*. Cambridge University Press, Cambridge. <http://dx.doi.org/10.1017/CBO9780511549816>.
- Boschetti, F., Hornby, P., and Horowitz, F. G. (2001). Wavelet Based Inversion of Gravity Data. *Exploration Geophysics*, 32,(1):48–55. <http://dx.doi.org/10.1071/EG01048>.
- Byerlee, J. (1978). Friction of rocks. *Pure and Applied Geophysics*, 116(4-5):615–626. <http://dx.doi.org/10.1007/bf00876528>.
- Carpenter, K., Horowitz, F. G., Ebinger, C., Navarrete, L., & Diaz-Etchevehere, D. (2015). Identifying active faults in Northeast North America using hypocenters and multiscale edge wavelet analyses of potential fields. In 2015 Fall Meeting, (pp. T11A-2866+). American Geophysical Union.
- Childs, H., Brugger, E. S., Bonnell, K. S., Meredith, J. S., Miller, M., Whitlock, B. J., and Max, N. (2005). A Contract-Based system for large data visualization. In *Proceedings of IEEE Visualization 2005*, pages 190–198. <http://visit.llnl.gov/>.
- GoldCorp (2001). US\$575,000 Goldcorp Challenge Awards world's first 6 million ounce internet gold rush yields high grade results! Press Release. <http://www.infomine.com/index/pr/Pa065434.PDF>.
- Heidbach, O., Tingay, M., Barth, A., Reinecker, J., Kurfeß, D., and Müller, B. (2010). Global crustal stress pattern based on the World Stress Map database release 2008. *Tectonophysics*, 482(1-4):3–15. <http://dx.doi.org/10.1016/j.tecto.2009.07.023>.
- Hildenbrand, T. G., Briesacher, A., Flanagan, G., Hinze, W. J., Hittelman, A. M., Keller, G. R., Kucks, R. P., Plouff, D., Roest, W., Seeley, J., Smith, D. A., and Webring, M. (2002). *Rationale and Operational Plan to Upgrade the U.S. Gravity Database*. Number 02-463 in USGS Open File Report. <http://research.utep.edu/default.aspx?tabid=37229>.
- Hornby, P., Boschetti, F., and Horowitz, F. G. (1999). Analysis of potential field data in the wavelet domain. *Geophysical Journal International*, 137(1):175–196. <http://dx.doi.org/10.1046/j.1365-246x.1999.00788.x>.
- Hornby, P., Horowitz, F. G., and Boschetti, F. (2002). A physical interpretation of the Poisson wavelet transform of potential fields. In *Proceedings, EGS XXVII General Assembly*, Munich. European Geophysical Society. <http://www.cosis.net/abstracts/EGS02/05568/EGS02-A-05568.pdf>.
- Horowitz, F. G. and Gaede, O. (2014). BSDWormer; an open source implementation of a Poisson wavelet multiscale analysis for potential fields. In *2014 Fall Meeting*, number T43C-4743. American Geophysical Union. <https://agu.confex.com/agu/fm14/meetingapp.cgi#Paper/26847>.
- Jessell, M. (2001). An atlas of structural geophysics II. *Journal of the Virtual Explorer*, 05. <http://virtualexplorer.com.au/journal/2001/05>.
- Malinconico, L. and Moore, M. (2013). Provisional Bouguer gravity map of Pennsylvania. AASG Geothermal Data Repository. <http://repository.stategeothermaldata.org/repository/resource/a748ce233a25e3e0dd00c9865d0af3e5/>.
- Mallat, S. and Zhong, S. (1992). Characterization of signals from multiscale edges. *Pattern Analysis and Machine Intelligence, IEEE Transactions on*, 14(7):710–732. <http://dx.doi.org/10.1109/34.142909>.
- Mardia, K. V. (1972). *Statistics of directional data*. Academic Press. <http://www.worldcat.org/isbn/9780124711501>.
- Moreau, F., Gibert, D., Holschneider, M., and Saracco, G. (1997). Wavelet Analysis of Potential Fields. *Inverse Problems*, 13:165–178. <http://dx.doi.org/10.1088/0266-5611/13/1/013>.
- Navarrete, L. (2015). Crustal structure of NE N America from constrained models of potential field data. Master's thesis, University of Rochester.
- Ravat, D., Finn, C., Hill, P., Kucks, R., Phillips, J., Blakely, R., Bouligand, C., Sabaka, T., Elshayat, A., Aref, A., and Elawadi, E. (2009). *A Preliminary, Full Spectrum, Magnetic Anomaly Grid of the United States with Improved Long Wavelengths for Studying Continental Dynamics: A Website for Distribution of Data*. Number Open-File Report 20091258. USGS. <http://pubs.usgs.gov/of/2009/1258/>.
- Reid, A. B., Allsop, J. M., Granser, H., Millett, A. J., and Somerton, I. W. (1990). Magnetic interpretation in three dimensions using Euler deconvolution. *GEOPHYSICS*, 55(1):80–91. <http://dx.doi.org/10.1190/1.1442774>.
- RungePincockMinarco (2015). Data Integration and 3d Visualisation Software - FRACISIS. webpage retrieved 23 June 2015. <http://www.rpmglobal.com/mining-software/data-visualisation-fracisis>.
- Schroeder, W., Martin, K., and Lorensen, B. (2004). *The Visualization Toolkit*. Kitware Inc., third edition. <http://www.worldcat.org/isbn/1930934122>.

## **ACKNOWLEDGEMENTS**

We thank David Castillo, Katie Keranen, Rick Allmendinger, and Geoff Abers for suggesting that we use orientation in a regional stress field as a method of determining the risk of seismicity on a structure. We thank Ronald McDowell and others from the West Virginia Geological and Economic Survey for calling to our attention that we had mine blasts contaminating our TA seismic catalog information, and we thank Beatrice Magnani of SMU for suggesting the statistically viable decontamination method of removing daylight events. We also thank Jery Stedinger for keeping us honest about determining quantitative errors in our risk estimates.

The information, data, or work presented herein was funded in part by the Office of Energy Efficiency and Renewable Energy (EERE), U.S. Department of Energy, under Award Number DE-EE0006726.